

## Corporate - Organisational Risks

New ID	Relevant Datix ID	Title and Summary	Opened	PM Review - Objective	Responsible Committee	Handler	Manager	Rating (initial)	Risk level (initial)	Rating (current)	Residual Risk Level
CR01	434	<b>Failure to meet QIPP Targets</b> QIPP Delivery is vital to ensuring that the CCG meets its financial targets. Challenging QIPP targets (including a £2m unallocated QIPP position at the beginning of year) puts the delivery of the CCG's financial targets at risk	12/08/2016	3c - Meeting our Statutory Duties (Delivery of Financial duties)	Finance and Performance	Sawrey, Lesley - Deputy CFO.	Sawrey, Lesley - Deputy CFO.	12	High	6	Moderate
CR02	290	<b>Cyber Attacks</b> Cyber attacks on the IT network infrastructure could potentially lead to the loss of confidential data into the public domain if relevant security measures are not in place. There is also serious clinical/financial and operational risks should there be a major failure leaving the organisation unable to function normally. In such an instance, Business Continuity Plans would need to be enacted.	31/01/2014	1a - Monitoring ongoing safety and performance in the system	Executives	Bahia, Gus - CCG Business Operations Manager	Hastings, Mike - CCG Director of Business and Performance	4	Moderate	4	Moderate
CR03	475	<b>NHS Constitutional Targets</b> There is a risk that ongoing pressure in the system will lead to Providers missing statutory NHS Constitutional targets with the associated impact on patient outcomes	28/02/2017	1a - Monitoring ongoing safety and performance in the system	Finance and Performance	Bahia, Gus - CCG Business Operations Manager	Bahia, Gus - CCG Business Operations Manager	8	High	8	High
CR04	469 - Full Delegation Capacity 268 - Loss of Key Finance Staff 337 - Full Delegation 478 - GMS Contract Changes	<b>CCG Staff Capacity for deliver new Commissioning Responsibilities</b> The CCG has taken on greater responsibility for commissioning Primary Care from NHS England. The additional work this requires is being met within existing resources which creates risks for delivery of this (and other) programmes of work	31/01/2017	3c - Meeting our Statutory Duties (Delivery of commissioning responsibilities - delegated)	Executives	Middlemiss, Vic - Head of Contracting and Procurement	Middlemiss, Vic - Head of Contracting and Procurement	9	High	9	High

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CR05	312	<b>Mass Casualty Planning</b> There is a risk that effective plans will not be in place for CCG and other agencies will not be in place	01/05/2014	3c - Continue to meet statutory duties and responsibilities (Emergency Planning)	Quality and Safety	Kalea, Tally - Commissioning Operations Manager	Hastings, Mike - CCG Director of Business and Performance	6	Moderate	8	High
CR06	466 453 - Data Sharing 147 - Provider issues 472 - Procuring a Step in Provider 473 - Repeat Dressings	<b>Vocare</b> Ongoing issues with the provider mean that there are concerns about the overall safety and sustainability of the service	30/01/2017	1a - Monitoring ongoing safety and performance in the system	Quality and Safety	Forsyth, Steven - Head of Quality and Risk	Garcha, Manjeet - CCG Executive Lead for Nursing and Quality	16	Extreme	16	Extreme
CR07	428 262 - CHC Budget	Failure to meet overall financial targets Challenging financial targets mean that there is a risk that the CCG will not meet it's overall financial target.	14/06/2016	3c Meeting our statutory duties (Meeting Financial duties)	Finance and Performance	Sawrey, Lesley - Deputy CFO.	Sawrey, Lesley - Deputy CFO.	12	High	6	Moderate
CR08	495	<b>New Ways of Working across the STP</b> The STP is complex and works across both providers commissioners and local authorities. This requires building new relationships and overcoming organisational barriers . Management capacity to fulfil new roles will be a risk to the CCG as well as the move to new ways of working with partners in a complex system	21/06/2017	3a - Proactively drive the CCG's Contribution to the Black Country STP	Governing Body	Dr Helen Hibbs - Accountable Officer	Dr Helen Hibbs - Accountable Officer	16	Extreme		Extreme
CR09	489 - Safeguarding Midwife 479 - LAC Health Checks 476 - Named Dr for LAC 321 - Provider DBS Check renewals	<b>Safeguarding Compliance</b> There are a number of interlinked issues with the delivery of safeguarding responsibilities across the system that create a risk that the CCG's statutory Duties will not be met		1a - Monitoring ongoing safety and performance in the system	Quality and Safety	Lorraine Millard	Garcha, Manjeet - CCG Executive Lead for Nursing and Quality	12	High	12	High

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CR10	415 - BCF Finance 450 - BCF Capacity 454 - Community Equipment 425, 451 - Community Neighbourhood Teams 407 - Discharge to Assess (DTC) 445 - Fibonnacci 480 - HARP Service 471 - Risk Stratification	<b>BCF Programme</b> The Better Care Fund Programme is an ambitious programme of work based on developing much closer integration between NHS and Local Authority Social Care services. There are significant risks associated with the programme not meeting its targets both financially and for patient outcomes		3b - Greater Integration of health and Social Care Services across Wolverhampton	Commissioning Committee	Andrea Smith	Marshall Steven	12	High	12	High
CR11	487 - Cost of new roles in Primary Care 485 - Nurse Training Roles 486 - GP Retirements 440 - Clinical Pharmacist role 459 - Student Placements	<b>Primary Care Strategy - Workforce Issues</b> There are a number of issues associated with workforce in Primary Care that may create a risk to the delivery of the objectives of the strategy in creating a multiskilled workforce able to deliver care closer to home		2a - Improve and develop Primary Care in Wolverhampton	Governing Body	Sarah Southall	Marshall Steven	12	High	12	High
CR12	223 - MCP Contractual Governance 467 - MCP New way of Working 468 - Group Capacity 477 - VAT implications	<b>New Ways of Working in Primary Care</b> There are a number of issues with the developing new approach to working. This potentially puts at risk the benefits for patients and the prospect of system change		2a - Improve and develop Primary Care in Wolverhampton	Primary Care Commissioning Committee	Steven Marshall	Marshall Steven	12	High	12	High